Focus on what’s not at issue: Gestures, presuppositions, appositives under contrastive focus

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Abstract. This paper is an attempt to systematically investigate how contrastive focus interacts with various types of not-at-issue content (co-speech and post-speech gestures, lexical presuppositions, and appositives). I look, in particular, at when focus forces at-issue interpretations of typically not-at-issue content, when it does not, and when such at-issue interpretations are impossible even to satisfy focus-related requirements. I conclude that the main factors affecting how a given type of content aligns along these dimensions are its prosodic (in)dependence and level of attachment in the syntax. The two factors also interact in a non-trivial way, in particular for gestures, which I use as a basis for an analysis of gestures that does not assume that their temporal alignment directly determines their semantics (contra Ebert and Ebert, 2014; Ebert, 2017; Schlenker, 2018), but instead relies on syntax/semantics and syntax/prosody interaction.

Keywords: focus, not-at-issue content, gestures, presuppositions, appositives.

1. Introduction

Contrastive focus has been observed to affect presupposition projection (Abusch, 2002; Simons et al., 2017, a.o.). For example, (1) typically gives rise to a global inference, triggered by stop, that Umbridge used to drink firewhisky, but (2) does not give rise to any global inferences about her previous drinking habits, despite the presence of the presupposition triggers stop and start.

(1) Umbridge might have stopped drinking firewhisky.  
→ Umbridge used to drink firewhisky.

(2) Context: The faculty at Hogwarts have to report to Madam Pomfrey whenever they significantly change their drinking habits. Ron knows that Umbridge has filed such a report, but he doesn’t know how exactly her habits have changed; he says: Umbridge might have stopped drinking firewhisky, but she also might have started doing so.  
✓ Umbridge used {to drink, to not drink} firewhisky.

Standard theories of presupposition projection (e.g., Heim, 1983; Schlenker, 2009) can handle examples like (2) by resorting to local accommodation, which is a process of making a presupposition part of the at-issue content by treating it as a conjunct at the level at which it is triggered. For example, applying local accommodation in (2) makes it roughly equivalent to:

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Umbridge might have used to drink firewhisky and stopped, but she also might have used to not drink firewhisky and started.

A similar observation can be made for inferences triggered by *co-speech gestures*, i.e., content-bearing, non-conventionalized gestural adjuncts co-occurring with verbal expressions they adjoin to. Such inferences typically project from embedded environments (Ebert and Ebert, 2014; Ebert, 2017; Schlenker, 2018; see also Tieu et al., 2017a, b for some experimental evidence):

(4)  
*Context: The Yule ball at Hogwarts is tomorrow.*

If Hagrid brings a dog\textsuperscript{LARGE}, it’s gonna be a mess.
→ If Hagrid brings a dog, it will be a large one.\textsuperscript{2}

The status of the inference in (4) is a matter of debate. Ebert (and Ebert) (2014; 2017) claim it is a Pottsian (2005) supplement, and Schlenker (2018) argues it is a special kind of presupposition. The two analyses make very similar predictions for (4). However, contrastive focus can sometimes force co-speech gestures to be interpreted as at-issue restrictive modifiers:\textsuperscript{3}

(5)  
If Hagrid brings a dog\textsuperscript{SMALL}, it’s gonna be OK,
but if he brings a dog\textsuperscript{LARGE}, it’s gonna be a mess.
\not\implies If Hagrid brings a dog, it will be a \{small, large\} one.
≈ If Hagrid brings a small dog... but if he brings a large dog...

At first glance, the parallel between (2) and (5) could be used as an argument in favor of the presuppositional analysis of co-speech gestures, but the empirical picture turns out to be more complicated. This paper is an attempt (to my knowledge, the first one) to systematically investigate the interaction of contrastive focus with different types of not-at-issue content and explain the observed differences in a principled way. I look at when focus-related considerations force at-issue interpretations of typically not-at-issue content and when they do not. I also show that, in some cases, at-issue interpretations are unavailable even when that would be the only way

\textsuperscript{2}For those unfamiliar with ‘Harry Potter’ lore, Hagrid is a half-giant with a fondness for large animals, so this inference is quite natural.

\textsuperscript{3}The first discussion of examples like (i) I am aware of is due to Rob Pasternak (p.c.), but such examples can be in principle analyzed via metalinguistic negation, which targets the form and not the content. In this paper I try to look at examples that are unlikely to involve metalinguistic uses of expressions containing projective content.

(i)  
I don’t want a beer\textsuperscript{SMALL}, I want a beer\textsuperscript{LARGE}!
to make contrast felicitous. The data suggest that two major factors affecting the focus-related behavior of a given type of not-at-issue content are whether it is prosodically independent and where it attaches in the syntax. With this in mind, I sketch an analysis of gestures that relies on both those factors, thus diverging from both Ebert’s and Schlenker’s accounts.

The rest of the paper is organized as follows. In Section 2 I clarify my notation, terminology, and data elicitation practice. In Section 3 I explore when contrastive focus forces at-issue interpretations of typically not-at-issue content, when it does not, and when even contrast requirements cannot force such interpretations. In Section 4 I discuss the issues those data pose for Ebert’s and Schlenker’s analyses of gestures and propose an alternative. Section 5 concludes.

2. Notation, terminology, and data elicitation

I adopt the following notational conventions in this paper:

- In ‘verbal expression GESTURE’, the gesture co-occurs with the verbal expression (the underlining loosely indicates temporal alignment of the gesture, without any syntactic claims).
- In ‘verbal expression — GESTURE’ the gesture follows the verbal expression.
- New gestures are illustrated by pictures.
- A word written in bold indicates prosodic (contrastive) focus marking: primarily (L+)H* pitch accent in ToBI terms (Beckman and Ayers, 1997) on the stressed syllable of the bolded word for verbal content, and kinetic emphasis for standalone gestures.
- A word written in italics in examples indicates prosodic contrastive topic marking: (L+)H* or L*+H pitch accent on the stressed syllable of the italicized word. Note that it is very hard, if not impossible, to distinguish between contrastive topic and contrastive focus prosodic marking in isolation. The choice of marking is thus informed by the semantic considerations.
- [...]F marks semantic focus whenever it is relevant.
- (IP...) marks intonational phrases (IPs) in ToBI terms whenever it is relevant.

Throughout the paper I will use the term not-at-issue to talk about content that projects from embedded environments all the way to the global context, such as the presupposition in (1) or the gestural inference in (4). I will use the term at-issue to talk about content that does not project at all, i.e., is interpreted under the lowest operator under which it is embedded, as in (2) or (5). Thus, for the purposes of this paper I do not care, in particular, whether a given piece of content can be directly negated in the discourse. For example, it has been shown in Syrett and Koev, 2014 that utterance-final appositive relative clauses (ARCs), as in (6) (adopted from Syrett and Koev, 2014; crucially, the original example does not contain negation), can be relatively easily targeted by direct negation in the discourse. For me the content of the ARC in A's utterance in (6) is still not-at-issue, since it projects from under negation.

(6) A: The symphony didn’t hire my friend Sophie, who is a classical violinist.
   → Sophie is a classical violinist.
B: That’s not true! Sophie isn’t a classical violinist.

As for the term local accommodation, while it was originally coined for presuppositions, I will use it to talk about treating any type of typically not-at-issue content as a conjunct at the
local syntactic level. For presuppositions that is the level at which the trigger is merged; for appositives and gestures that would be the level at which they adjoin in the narrow syntax.

Finally, the data reported in this paper are based on introspective judgements of native speakers of English (all linguists); for each example judgements were elicited from at least three speakers (for most examples the number of speakers is more than five). Any variation or uncertainty in judgements is reported.

3. When contrastive forces local accommodation, when it does not, and when it cannot

3.1. Contradictory inferences

We have already seen one case of at-issue interpretations of typically not-at-issue content under contrastive focus in (2) for lexical presuppositions and in (5) for adnominal co-speech gestures; (7) illustrates the same case for adverbial co-speech gestures.

(7) If Hermione stirs her potion\textsuperscript{CLOCKWISE}, it will turn blue, but if she stirs her potion\textsuperscript{COUNTERCLOCKWISE}, it will turn green.\textsuperscript{4} \not\rightarrow If Hermione stirs her potion, she’ll do so \{clockwise, counterclockwise\}.

Such examples can be accounted for without any sophisticated theory of interaction of not-at-issue content with focus. Projecting an inference $p$ globally means imposing a requirement on the context set that it entail $p$. In each of the examples above, the two inferences $p$ and $q$ that would normally project contradict each other. Since no context set can entail a contradiction, it cannot be the case that both $p$ and $q$ project. Technically, locally accommodating only one of the inferences in each case should suffice to avoid the contradiction, but this should be ruled out via further pragmatic reasoning on what the speaker believes to be possible (à la Gazdar’s, 1979 clausal implicatures or any alternative). For example, in (5) and (7), projecting one of the contradictory inferences and accommodating the other would render one of the antecedents false, but it is odd to utter a conditional whose antecedent the speaker believes to be false.

The reasoning above applies regardless of the type of focus involved or what the focus alternatives are. However, when the inferences contributed by the not-at-issue expressions within an utterance are not contradictory, the type of focus and the nature of the alternatives do matter for whether a given content ends up projecting. The next two subsections review such cases.

\textsuperscript{4}I believe, when they are not contrasted with each other, the CLOCKWISE and COUNTERCLOCKWISE gestures do not necessarily give rise to any inferences about the directionality of movement, but in a context when the directionality is the only locus of contrast, it naturally has to be interpreted maximally iconically.
3.2. Contrastive topic + focus configuration

Oftentimes the content semantically in focus has to address the question under discussion (QUD; in the sense of Roberts, 2012, a.o.) and thus is inevitably at-issue, as opposed to back-grounded. This is the case, in particular, within a contrastive topic + focus (CT+F) configuration (in the sense of Büring, 2003, a.o.). Consider, for instance, the following pair of examples:

\[(8)\]

\[a. \quad \text{Hermione petted Buckbeak, and Luna petted Fang}.^5\]

QUD: Who petted whom?

sub-QUDs: Who did Hermione pet? Who did Luna pet?

\[b. \quad \text{Hermione petted Buckbeak, and Luna fed Buckbeak.}\]

QUD: Who did what to Buckbeak?

sub-QUDs: What did Hermione do to Buckbeak? What did Luna do to Buckbeak?

In (8a), the part of content that specifies that it was Buckbeak who Hermione petted is new information addressing the immediate sub-QUD and thus cannot possibly be presupposed; that Hermione petted someone might very well be (perhaps weakly) presupposed (the same is true, mutatis mutandis, about Fang and Luna). In (8b), what is at-issue is what the girls did to Buckbeak; that they did something to Buckbeak might, once again, be presupposed.

Now, let us turn to perhaps less obvious CT+F examples with co-speech gestures:

\[(9)\]

Context (applies to all animal fighting examples): At Hogwarts, when a small animal and a large animal find themselves in the same room, they usually fight.

If Flitwick brings a dog[^SMALL]F, and Hagrid brings a dog[^LARGE]F, they’ll fight.\(^6\)

\[\nleftrightarrow\quad \text{If } \{\text{Flitwick, Hagrid}\} \text{ brings a dog, it will be a }\{\text{small, large}\} \text{ one.}\]

\[\approx \quad \text{If Flitwick brings a small dog, and Hagrid brings a large dog...}\]

\[(10)\]

If Hermione stirs her potion[^CLOCKWISE]F, and Luna stirs her potion[^COUNTERCLOCKWISE]F, there will be an explosion.

\[\nleftrightarrow\quad \text{If } \{\text{Hermione, Luna}\} \text{ stirs her potion, she’ll do so }\{\text{clockwise, counterclockwise}\}.\]

\[\approx \quad \text{If Hermione stirs her potion clockwise, and Luna stirs her potion counterclockwise...}\]

The gestural inferences in the two examples above do not project, even though the two gestural inferences in either example do not contradict each other, so the simple reasoning described in the previous subsection is insufficient to account for their non-projection. Instead, the gestures in the examples above behave like ordinary modifiers under focus in a CT+F configuration, i.e., the prosodic prominence co-occurring with each word-gesture pair signals semantic focus on the gesture rather than on the verbal expression. Intuitively, the reason why prominence has to associate with the gestures in both examples is because the verbal content of the two items under prominence in each example is non-contrastive, and there is a general requirement for all F-items within a CT+F coordinated structure to contrast with each other:

\(^5\)Buckbeak is a hippogriff, and Fang is a dog.

\(^6\)Flitwick is a part-goblin and thus very short, so the inference that if he brings a dog to the Yule ball, it will be a small one would be a natural one.
At this point I will not offer a comprehensive story about what it means for two expressions to be contrastive (but see, e.g., Katzir, 2014 for a discussion). For the purposes of this paper, let us assume the—inevitably simplified—view that for any two expressions \( \alpha \) and \( \beta \) of the same semantic type ending in \( t \), \( \alpha \) and \( \beta \) are properly contrastive if neither entails the other (assuming generalized entailment), and \( \alpha \) and \( \beta \) of type \( e \) are contrastive if \( \llbracket \alpha \rrbracket \neq \llbracket \beta \rrbracket \). Similarly, I will not engage in a discussion about the nature and status of this contrast requirement on F-items in CT+F coordinated structures. I will just assume that it holds, at least in the examples above (but see van Rooij, 2010; Büring, 2016 for a potentially relevant discussion).

One could try to rebut the contrast-based intuition above by arguing that the gestures in (9) and (10) give rise to more general inferences; e.g., \( \text{a dog}^{\text{small}} \) in \( \text{Hagrid brings a dog}^{\text{small}} \) does not give rise to an inference about the size of a dog Hagrid would bring but rather about the size of dogs in general. If that is the case, then the inferences of \( \text{a dog}^{\text{small}} \) and \( \text{a dog}^{\text{large}} \) would be contradictory, and thus the reasoning from the previous subsection could derive non-projection in (9) without appealing to any focus-related considerations. To use the same argument for (10), one would have to assume that the gestures in (10) necessarily attach to the verb \( \text{stirs} \) rather than the whole VP \( \text{stirs her potion} \), since the two VPs do not have the same semantics due to the different indices on the pronouns. This reasoning would predict that mere co-occurrence of mutually exclusive gestures with the same predicate within one utterance should force non-projection. However, this prediction is not borne out; once we remove prominence from the word-gesture pairs, either by getting rid of the CT+F configuration altogether or by making something else the F-items, the gestural inferences can project:

\[
(12) \quad \text{If Flitwick brings a dog}^{\text{small}}, \text{and Hagrid(, too,) brings a dog}^{\text{large}}, \text{they’ll fight.}
\rightarrow \text{If \langle Flitwick, Hagrid \rangle brings a dog, it will be a \langle small, large \rangle one.}
\]

\[
(13) \quad \text{If Hermione stirs her potion}^{\text{clockwise}}, \text{and Luna stirs her potion}^{\text{counterclockwise}}, \text{there will be an explosion.}
\rightarrow \text{If \langle Hermione, Luna \rangle stirs her potion, she’ll do so \langle clockwise, counterclockwise \rangle.}^{7}
\]

\[(A \text{ sample context: Hermione and Luna are brewing potions next to each other; Hermione’s potion requires stirring clockwise, and Luna’s potion requires stirring counterclockwise; stirring two nearby potions in different directions causes an explosion.} )\]

This observation suggests that even if gestures can give rise to generic inferences about predicates, more narrow inferences are certainly also possible.

Furthermore, one could speculate that focus-marking prosodic prominence co-occurring with a gesture always makes it at-issue, without any additional contrast considerations. This, however, is not the case either. Once we make the verbal content of the word-gesture pairs under focus-marking prominence within a CT+F coordinated structure contrastive, as in (14), the prominence can associate with the verbal content. Co-speech gestures do not like to be at-

\[7\text{With the caveat that the contrast between the two gestures might be interpreted as an inconsistency in the default stirring gesture (see fn. 4), in which case the direction of movement would not be interpreted iconically.}\]
issue unless under pressure, so the prominence will in fact preferably associate with the verbal content, thus, no longer forcing the gestures to be at-issue.\(^8\)

(14) If *Hagrid* brings a \([\text{dog}]_F^{\text{LARGE}}\), and *Filch* brings a \([\text{cat}]_F^{\text{SMALL}}\), they’ll fight.
→ If \(\langle \text{Hagrid}, \text{Filch} \rangle\) brings a \(\langle \text{dog}, \text{cat} \rangle\), it will be \(\langle \text{large, small} \rangle\).\(^9\)

Here is also a naturally occurring example of this configuration produced by a Parisian guide:

(15) If you’re going for a coffee\(^{\text{SMALL}}\),... You know, if you’re going for a real coffee\(^{\text{SMALL}}\), not a *Starbucks* coffee\(^{\text{LARGE}}\),... 
→ \(\langle \text{Real, Starbucks} \rangle\) coffees are \(\langle \text{small, large} \rangle\).

So, as an intermediate summary: when a prosodically F-marked element within a CT+F coordinated structure is a word-gesture pair, the at-issue interpretation of the gesture is only forced when the verbal content in that pair does not properly contrast with the other F-items.

Now, does the same generalization apply to lexical presuppositions? One problem is that we can usually only speculate what the at-issue/not-at-issue content of a given trigger is. That said, let us look at *start* and *stop*. One option is that they contrast in both at-issue and presuppositional content: for *start P* the two components are, roughly, ‘P now’ and ‘not P before’, and for *stop P* they are ‘not P now’ and ‘P before’. If the generalization above applies to lexical presuppositions, having *stop* and *start* as prosodically F-marked elements within a CT+F coordinated structure should not force local accommodation, which indeed seems to be the case.\(^{10}\)

(16) If *Umbridge* stopped drinking firewhisky, and *McGonagall* started doing so, we’re in trouble.
→ \(\langle \text{Umbridge, McGonagall} \rangle\) used to \(\langle \text{drink, not drink} \rangle\) firewhisky.

Now let us look at another pair of lexical items, *know* and *think*, which can be construed as having the same at-issue content but differing in their not-at-issue content, with *know* but not *think* triggering a factive presupposition. If that is correct, the generalization above predicts local accommodation when the two are prosodically F-marked elements in a CT+F coordinated structure. It seems indeed that the inference typically triggered by *know* in non-contrastive contexts does not obtain when *know* is contrasted with *think* in a CT+F coordinated structure:

(17) a. If Hermione knows that her parents are in danger, she’ll talk to Dumbledore.

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\(^8\)It is as of now unclear to me what is the cause and what is the effect here. It might very well be that co-speech gestures are preferably not-at-issue precisely because it is harder for focus-marking prominence to associate with them, which, given certain assumptions about givenness, can very well result in default projection.

\(^9\)Here it is quite natural to get a secondary generic inference that dogs are in general larger than cats.

\(^{10}\)Local accommodation, of course, is still possible, e.g., in a context similar to the one in (2).
→ Hermione’s parents are in danger.

b. If Ron thinks that his parents are in danger, and Hermione knows that hers\textsuperscript{11} are, they’ll talk to Dumbledore.

\[ \rightarrow \text{Hermione’s parents are in danger.} \]

There is an apparent problem with a local accommodation approach to (17b), though, since (17b) does not really have the reading whereby the complement of know is a conjunct under if:

\[(18) \quad \text{If Ron thinks that his parents are in danger, and Hermione’s parents are in danger and she thinks that they are, Ron and Hermione will talk to Dumbledore.}\]

Instead, (17b), intuitively, seems to suggest a contrast in how much evidence about their parents being in danger Ron and Hermione respectively need before they talk to Dumbledore, regardless of whether their parents actually are in danger. There have been some attempts to revisit the lexical semantics of know in terms of the level of certainty of the attitude holder or amount of evidence available to them (see, e.g., Wiegand, 2018 for a recent discussion). However, as things stand, those accounts are insufficient to predict the nature of inferences triggered by know and their projection behavior in all environments; in particular, they do not account for (17a). One (perhaps unsatisfying) possibility would be to say that know is lexically ambiguous between a factive, presupposition-triggering predicate, whose at-issue content is roughly equivalent to that of think, and a non-factive, non-presuppositional predicate meaning something like ‘believe with a great amount of certainty/evidence’—as opposed to think, which would mean something like ‘believe with a moderate amount of certainty/evidence’. As things stand, both readings would be predicted to be possible in non-contrastive environments (so, if there is a preference for the factive reading, something additional needs to be said), but the second reading would become much more salient when know is contrasted with think.

Setting the peculiarities of attitude predicates aside, it would seem that the data for some lexical presupposition triggers, in particular, start/stop and know, are compatible with the generalization made for co-speech gestures. The content that would normally project does not do so and is instead treated as part of the at-issue content (via local accommodation or lexical adjustments) when it is necessary to make the necessarily at-issue F-items within a CT+F coordinated structure contrastive. When the contrast requirement can be satisfied by some other content, the typically not-at-issue content, both gestural and presuppositional, can remain not-at-issue.

3.3. Not-at-issue focus

Let us now turn to focus that does not require at-issueness of the content it targets. Such focus marks novelty of certain content without having it address the QUD, for example:

\[(19) \quad (\text{IP If Flitwick brings a dog}), (\text{IP which will be small}), (\text{IP and Hagrid}, \text{too,}) \text{ brings a dog}, (\text{IP which will be large}), (\text{IP they’ll fight}).\]

\[ \rightarrow \text{If (Flitwick, Hagrid) brings a dog, it will be (small, large).}\]

\textsuperscript{11}The focus-marking prominence on hers is not-at-issue focus, discussed in the next subsection.
(20)  \( (\text{IP If Hermione stirs her potion}) \), \( (\text{IP which she’ll do clockwise}) \), \( (\text{IP and Luna stirs her potion}) \), \( (\text{IP which she’ll do counterclockwise}) \), \( (\text{IP there will be an explosion}) \).
   \[ \rightarrow \text{If } \langle \text{Hermione, Luna} \rangle \text{ stirs her potion, she’ll do so } \langle \text{clockwise, counterclockwise} \rangle. \]

Note that the ARCs in the two examples above are not F-items in CT+F coordinated structures; (19) is an instance of additive coordination (which can be highlighted by adding an overt additive particle \textit{too}), and in (20) the F-items are the two \textit{her} pronouns with distinct antecedents. In fact, true appositives cannot be F-items in a CT+F configuration at all. ARCs, and appositives more generally, are packaged into their own IPs (see Selkirk, 2005 and references therein). Trying to impose CT+F prosody on the strings in (19) would change prosodic grouping, resulting in a restrictive RC interpretation (which in this case will also require tense changes in the RCs):

(21) \( (\text{IP If Flitwick brings a dog which is small}) \), \( (\text{IP and Hagrid brings a dog which is large}) \).
   \[ \nrightarrow \text{If } \langle \text{Flitwick, Hagrid} \rangle \text{ brings a dog, it will be a } \langle \text{small, large} \rangle \text{ one}. \]

Note that something similar happens with post-posed nominal adjuncts; (22a) has two bona fide non-restrictive nominal appositives, while in (22b) the adjuncts are interpreted as restrictive modifiers and require existence of two salient Fangs:

(22) a. \( (\text{IP If Filch brings Mrs Norris}) \), \( (\text{IP a small beast}) \), \( (\text{IP and Hagrid brings Fang}) \), \( (\text{IP a large beast}) \).
    \[ \nrightarrow \text{If } \langle \text{Filch’s, Hagrid’s} \rangle \text{ brings a dog, it will be a } \langle \text{small, large} \rangle \text{ one}. \]

b. \( (\text{IP If Flitwick brings Fang the small beast}) \), \( (\text{IP and Hagrid brings Fang the large beast}) \).

While it might be tempting to say that the restrictive interpretations of the adjuncts in (21) and (22b) are instances of local accommodation of appositives, the prosodic, morphosyntactic, and lexical changes alone suggest different structures to begin with. In fact, as I will show in the next section, local accommodation of adnominal appositives would yield different readings from those of restrictive modifiers but is for the most part impossible.

Interestingly enough, similar not-at-issue focus can be argued to be possible for what Ebert and Schlenker call \textit{post-speech gestures}, i.e., gestural adjuncts that follow the verbal expressions they adjoin to (even though the utterances below are quite awkward to pronounce, presumably due to the fact that post-speech gestures like to be utterance-final):

(23) \( (\text{IP If Flitwick brings a dog}) \) \( (\text{IP SMALL}) \), \( (\text{IP and Hagrid brings a dog}) \) \( (\text{IP LARGE}) \).
   \[ \rightarrow \text{If } \langle \text{Flitwick’s, Hagrid’s} \rangle \text{ brings a dog, it will be } \langle \text{small, large} \rangle \text{ one}. \]

(24) \( (\text{IP If Hermione stirs her potion}) \) \( (\text{IP CLOCKWISE}) \), \( (\text{IP and Luna stirs her potion}) \) \( (\text{IP COUNTER-CLOCKWISE}) \).
   \[ \rightarrow \text{If } \langle \text{Hermione, Luna} \rangle \text{ stirs her potion, she’ll do so } \langle \text{clockwise, counterclockwise} \rangle. \]

\[ 12 \text{Some people disprefer } \textit{which} \text{ as a relativizer in restrictive RCs and need to also replace } \textit{which} \text{ with } \textit{that} \text{ here.} \]

\[ 13 \text{Mrs Norris is a cat.} \]
Ebert has at different points argued that post-speech gestures can have at-issue (Ebert and Ebert, 2014) or “parenthetical” (Ebert, 2017) semantics. Schlenker (2018) claims that post-speech gestures are “supplements”, which is compatible with them being more like either appositives or parentheticals. For the purposes of this paper, these differences do not matter. What matters is that, with regard to the possibility of bearing not-at-issue focus, not-at-issue post-speech gestures pattern with other prosodically independent not-at-issue content.

A natural question at this point is if there are counterparts of (21) and (22b) for post-speech gestures, i.e., if we can have an example with gestures linearly following the verbal expressions they adjoin to but still in the same IP as those verbal expressions. The answer seems to be ‘no’. For example, the following string is very hard to pronounce:

\[(25) ??(\text{IP If Flitwick brings a dog --- SMALL}, (\text{IP and Hagrid brings a dog --- LARGE}), (\text{IP they'll fight}).\]

There seems to be an articulatory constraint on gestures that requires that once they share an IP with some verbal content they should be anchored to some vocal prosodic event, such as pitch accents in languages like English, i.e., they have to be linearized as what we have been so far calling co-speech gestures. In other words, there are two major articulatorily non-taxing possibilities for alignment of gestures: as prosodically independent items in their own IPs or as prosodically dependent items within verbal IPs.

Now, going back to not-at-issue focus, it is easy to see that the interpretations of the sentences in (19)/(23) and (20) are essentially the same as in (12) and (13) (repeated below), respectively:

\[(12) \text{If Flitwick brings a dog \underline{SMALL}, and Hagrid, too, brings a dog \underline{LARGE}, they'll fight.}\]

\[(13) \text{If Hermione stirs her potion \underline{CLOCKWISE}, and Luna stirs her potion \underline{COUNTERCLOCKWISE}, there will be an explosion.}\]

The difference, however, is in how prominence is marked. Intuitively, it is OK to mark prominence on contrastive ARCs or post-speech gestures, since they are in their own IPs. Trying to mark co-speech gestures as prominent in (12) or (13) is awkward, possibly because it creates a confusing or even garden-path environment regarding the structure, since the prosodic differences between CT+F and additive coordination in this case are very subtle. However, some speakers accept not-at-issue focus on the second word-gesture pair only in (12) and (13).

\[\text{It is not entirely clear to me how “parenthetical” post-speech gestures are different from “supplemental” co-speech gestures, considering that Potts calls both appositives and parentheticals supplements (Potts, 2005, p. 6).}\]

\[\text{Certainly not all gestures that follow some verbal material are not-at-issue. Some of them can be independent standalone utterances that have ordinary at-issue semantics. Some can have elaboration at-issue uses similar to those of one appositives (discussed, for example, in Nouwen, 2014):}\]

\[(i) \text{Bring me a beer, a small one.}\]

\[(ii) \text{Bring me a beer --- SMALL.}\]

Yet, the gestures in (23) and (24) seem to be making a contribution similar to that of ordinary appositives.

\[\text{For example, Loehr (2004) shows that apexes of gesture strokes tend to align with pitch accents in English.}\]
Now, what happens to presupposition triggers? One observation is that two different lexical items with the same (purported) at-issue content and different (purported) presuppositional content cannot participate in additive coordination, regardless of how prominence is marked:

(26) *If Ron thinks that his parents are in danger, and Hermione, too, knows that hers are, they’ll talk to Dumbledore.

This is presumably because the additive presupposition of *too* cannot ignore sublexical not-at-issue content,\(^17\) i.e., *too* in (26) triggers the presupposition that Ron knows that his parents are in danger, not that he thinks that they are, which is not satisfied in the context. The presupposition of *too* can ignore lexically independent not-at-issue content such as gestures (whether co- or post-speech) and appositives, which allows them to participate in additive coordination. A similar generalization applies to how different types of not-at-issue content behave under ellipsis. Note that these facts require an additional explanation under Schlenker’s presuppositional analysis of co-speech gestures, but this discussion is well beyond the scope of this paper.

That said, some people can to some extent accept the following utterance, without an additive particle and with not-at-issue focus on *knows* only (although the judgements are hard):

(27) Context: McGonagall doesn’t know if Ron’s parents are in danger, but she knows that Hermione’s are; she doesn’t know what Ron and Hermione think; she says: If Ron thinks that his parents are in danger, and Hermione *knows* that hers are, they’ll talk to Dumbledore.

The take-home message of the last two subsections is that a major factor in how focus interacts with a given type of not-at-issue content is whether that content is prosodically independent. Co-speech gestures are prosodically anchored to the verbal content they share an IP with, and lexical presuppositions are a sublexical component of a lexical item that also contains some at-issue content;\(^18\) as a result, it is hard for them to bear not-at-issue focus, but they can serve as F-items in CT+F coordinated structures (and sometimes they have to). Appositives and post-speech gestures necessarily occupy their own IPs; as a result, they can bear not-at-issue focus but cannot be F-items in CT+F coordinated structures. Additionally, there might be subtler differences between lexically dependent (presuppositions) and lexically independent (gestures) not-at-issue content regarding not-at-issue focus, but the data are somewhat messy. Next I will show that some not-at-issue content cannot be locally accommodated even as a last resort.

3.4. When local accommodation is impossible

Let us start with an observation that appositives adjoining to nominals do not have the semantics of restrictive modifiers but instead contribute a proposition about the DP they associate with:

\(^{17}\)A notorious exception are gender features, which have often been given a presuppositional analysis but can be famously ignored under ellipsis and in additive coordination; I will not have much to say about this.

\(^{18}\)Except triggers like *too* and *again*, which arguably only contribute presuppositional content. Interestingly enough, these triggers are typically considered “strong” in the sense that they do not easily allow for local accommodation in the first place, regardless of focus placement. Why this is so is beyond the scope of this paper.
To know if this content can be at-issue, we want to see if those propositions can be treated as maximally local conjuncts. As it happens, they typically cannot, not even as a last resort:19

(29) Context: Hermione knows that Hagrid has a single dog, but she doesn’t know how big that dog is. Hagrid is planning to bring his dog to the Yule ball. Hermione says:

   a. #((IP If Hagrid brings his dog), (IP who is small), (IP it’s gonna be OK), (IP but if he brings his dog), (IP who is large), (IP it’s gonna be a mess).
   b. #((IP If Hagrid brings his dog), (IP a small beast), (IP it’s gonna be OK), (IP but if he brings his dog), (IP a large beast), (IP it’s gonna be a mess).

No antecedent in (29) can have a reading along the lines of ‘If Hagrid brings his dog and his dog is \{small, large\} (beast)...’, even though that would have made the sentences meaningful. Surprisingly enough, such propositional readings cannot be accommodated for gestures either, regardless of whether they are linearized as co-speech or post-speech:

(30) Same context as in (29).

   a. #((IP If Hagrid brings his dog, (IP SMALL), (IP it’s gonna be OK), (IP but if he brings his dog, (IP LARGE), (IP it’s gonna be a mess).
   b. #((IP If Hagrid brings his dog) — (IP SMALL), (IP it’s gonna be OK), (IP but if he brings his dog) — (IP LARGE), (IP it’s gonna be a mess).

Note that the co-speech gestures in (30a) could get a restrictive modifier interpretation in a different context (one in which Hagrid has at least two dogs, one small and one large), but the post-speech gestures in (30b) cannot (for reasons discussed in the previous subsection).

So, to sum up the data on when local accommodation is possible and when it is not: adnominal appositives and post-speech gestures always have propositional semantics and cannot be locally accommodated; adnominal co-speech gestures can be accommodated when they have predicative semantics but not when they have propositional semantics.

A natural question is whether similar restrictions apply to lexical presuppositions, i.e., if only predicative, but not propositional presuppositional content can be locally accommodated. Since we can typically only speculate about the exact form of the presuppositional content in any given case, it is hard to talk about its semantic type, and I will not attempt to do so here. That said, the analysis I sketch in section 4.2 suggests that it is not the propositional type of a given

19Schlenker (2013) discusses some apparent exceptions for ARCs:

   (iv) If tomorrow I call the Chair, who in turn calls the Dean, then we will be in deep trouble.
   \(\not\rightarrow\) If tomorrow I call the Chair, they will call the Dean.
   \(\approx\) If tomorrow I call the Chair, and they call the Dean...

   Such examples routinely involve a description of a sequence of events; since they would be hard to, if not impossible, to replicate with gestures, I do not discuss them in this paper.
piece of not-at-issue content that makes it unaccommodatable, but rather the mismatch between the type of that piece of content and the type of the phrase it adjoins to in the narrow syntax.

3.5. Summary of the data

Table 1 summarizes the data discussed in this section (with some simplifications).

<table>
<thead>
<tr>
<th>content type</th>
<th>structural properties</th>
<th>semantic type</th>
<th>at-issue interpretations</th>
<th>not-at-issue focus</th>
</tr>
</thead>
<tbody>
<tr>
<td>appositives</td>
<td>prosodically independent; lexically independent</td>
<td>propositional</td>
<td>impossible</td>
<td>possible</td>
</tr>
<tr>
<td>post-speech gestures</td>
<td>prosodically independent; lexically independent</td>
<td>propositional</td>
<td>impossible</td>
<td>possible</td>
</tr>
<tr>
<td>co-speech gestures</td>
<td>prosodically dependent; lexically independent</td>
<td>predicative or propositional</td>
<td>can be forced for predicative gestures as a last resort; impossible for propositional gestures</td>
<td>hard</td>
</tr>
<tr>
<td>lexical presuppositions</td>
<td>prosodically dependent; lexically dependent</td>
<td>??</td>
<td>can be forced as a last resort (for some triggers)</td>
<td>hard</td>
</tr>
</tbody>
</table>

Table 1: Different types of not-at-issue content: summary

In the next section I will discuss what issues these data raise for Ebert’s and Schlenker’s analyses of gestures and sketch an alternative analysis that avoids these issues.

4. Analyses of gestures

4.1. Issues for Ebert’s and Schlenker’s analyses

As I have said before, the differences (if any) between Ebert’s and Schlenker’s analyses of post-speech gestures do not matter for the data at hand. As far as both analyses predict that post-speech gestures cannot be locally accommodated, these data pose no problems for them.

Ebert’s (2017) analysis of co-speech gestures is two-fold. She claims that co-speech gestures in general have supplemental semantics akin to that of appositives, but she also allows for NP-level gestures with “exemplification” semantics. In other words, under her view, for example, \( \textit{a dog} \_{\text{LARGE}} \) typically has the same semantics as \( \textit{a dog, (IP which is/will be large)} \), but—if my
understanding of her claims is correct—the gesture LARGE can also sometimes be interpreted as indicating the size of a typical entity in the denotation of the predicate dog.

As things stand, it is unclear if this view can predict the restrictive modifier interpretations of predicative co-speech gestures under pressure (as in (5), (7), (9), or (10)). As we have seen in the previous section, appositives only have propositional semantics, so even if they were capable of being locally accommodated (which they do not seem to be), the predicted readings would not be those of restrictive modifiers. As for “exemplification” interpretations, it is unclear to me if they can be at-issue and what the predicted result would be, if they can.

Schlenker (2018) argues that co-speech gestures trigger assertion-dependent presuppositions he calls cosuppositions. A gestural cosupposition has the form $V \Rightarrow G$, where $V$ is the verbal expression the gesture adjoins to, $G$ is the gesture’s content, and $\Rightarrow$ is generalized entailment. When this cosupposition projects, the local context $c'$ of $[[V] G]$ has to entail it: $c' \Rightarrow (V \Rightarrow G)$. When it is locally accommodated, it is conjoined to $V$: $V \& (V \Rightarrow G)$, which is equivalent to $V \& G$, where $\&$ is generalized conjunction. Note that for Schlenker’s cosuppositional mechanism to apply, it is crucial that the denotations of $V$, $G$, and $c'$ are all of the same type.

Without going into technical details, given certain assumptions about how local contexts are computed, Schlenker’s cosuppositional analysis yields correct predictions for adnominal et-type co-speech gestures when they adjoin to et expressions, i.e., NPs, both for projection and local accommodation. For example, the following results obtain for Hagrid brings his dog\textsuperscript{LARGE}, if the gesture adjoins to the NP dog: if the cosupposition projects, we get a conditional inference, roughly, ‘If Hagrid brings his dog, his dog is large’ (from which it is easy to generalize to ‘Hagrid’s dog is large’ tout court); if the cosupposition is accommodated, we get the at-issue content of the sentence to be ‘Hagrid brings his large dog’, which is exactly what we want.

Things become more complicated when gestures adjoin to expressions of type $<\text{et}, t>$ or $e$, i.e., DPs. If all co-speech gestures trigger presuppositions, which seems to be Schlenker’s claim, we have to assume that DP-level co-speech gestures are of the same type as the DP they adjoin to. Depending on our further assumptions about the denotation of the gesture and the local context, the cosuppositional mechanism can yield similar results for DP-level attachment of the gesture as for NP-level attachment when it comes to projection. However, as things stand, we will also predict local accommodation to be possible in this case. The exact result for local accommodation will depend on what denotation we assume for the DP-level gesture. For example, if we assume that a DP-level gesture \textsuperscript{LARGE} denotes an existential quantifier ‘a large object’, we get the at-issue content of the sentence to be ‘Hagrid brings his dog and a large object’. If we introduce an anaphoric link across the verbal expression his dog and the gesture \textsuperscript{LARGE}, we can get the at-issue content of the sentence to be ‘Hagrid brings [his dog]$_i$ and it$_i$ is large’. Neither is attested.

More generally, since the only attested at-issue interpretation of adnominal gestures is that of restrictive et modifiers, we should find a way to block any other at-issue interpretations. If one wants to maintain Schlenker’s claim that all co-speech gestures trigger cosuppositions, they would have to stipulate either that adnominal co-speech gestures can only attach to et expres-
sions, or that only et adnominal co-speech gestures can be locally accommodated. Neither option seems to be well-motivated. Furthermore, under such an approach, the fact that both post-speech gestures and non-predicative co-speech gestures cannot be locally accommodated seems entirely accidental. In the next subsection I will sketch an analysis of gestures, focusing specifically on adnominal gestures, that does not assume that the linearization of a gesture directly determines its semantics. Instead, I will propose that the semantics and projection properties of a gesture are determined by its level of attachment in the syntax, which will also restrict its linearization possibilities.

4.2. Proposal: syntax/semantics and syntax/prosody of gestures

I will assume that all NP-level adjuncts, gestural or not, denote predicates of et type (which is not particularly controversial), and all DP-level adjuncts, gestural or not, denote propositions containing a pronoun anaphoric to the DP the adjunct merges with in the narrow syntax. This latter assumption is quite natural to make for ARCs and nominal appositives (which for our purposes can be just reduced ARCs), and I am generalizing it to DP-level gestures. For example, an NP-level gesture LARGE denotes $\lambda x. \text{large}(x)$, but a DP-level gesture LARGE denotes large($x$), where $x$ is anaphoric to the DP the gesture adjoins to.

Now, Schlenker's cosuppositional mechanism can apply to NP-level gestures, because they have the same type as the verbal expression they modify. When the cosupposition projects, we get a conditional, assertion-dependent inference; when it is accommodated—in particular, to satisfy the contrast requirements under focus—the gesture behaves as a restrictive modifier.

However, the cosuppositional mechanism cannot apply to DP-level gestures, since they are not of the same type as the DP they adjoin to. More generally, DP-level adjuncts cannot be interpreted where they merge in the narrow syntax. Instead, they have to raise at LF and adjoin at some sentential level. As a first approximation, they adjoin at the highest possible level at which the discourse referent introduced by the DP they originally merged with is still available for them. Further assumptions about the status of not-at-issue DP-level adjuncts might be needed to derive their projection behavior when they do not end up having matrix scope even after raising. For example, Schlenker's (2013) semantic translucency of appositives will do the job (Schlenker's treatment of appositives is in general very much in line with the story I've been developing here, modulo some differences in syntactic assumptions). Regardless of those further assumptions, however, this general approach makes the mechanism of local accommodation, i.e., conjunction at the level of "triggering", inapplicable to DP-level adjuncts.

This story gives us a principled reason why different mechanisms apply to NP- vs. DP-level gestures, with potentially different results when it comes to at-issue interpretations. But how do we explain why post-speech adnominal gestures seem to be incapable of having predicative semantics and, subsequently, at-issue interpretations? I propose that that is because NP-level gestures cannot be linearized as post-speech due to articulatory and prosody/syntax constraints. There are two conflicting requirements that ensure this result. On the one hand, NP-level adjuncts want to be in the same IP as the NPs they adjoin to (we have seen this, for example,
for restrictive RCs and postposed restrictive nominal modifiers). However, as we have seen in Section 3.3 (example (25) and discussion thereof), once a gesture is in an IP with some at-issue content, it cannot be prosodically independent due to articulatory reasons, i.e., it has to be co-speech. These two requirements can be formulated as OT-style constraints:

\[(31) \text{ANCHORG: Assign } * \text{ for each gesture that is inside an IP containing verbal content but is not anchored to any vocal prosodic event.}\]

\[(32) \text{WRAPNP (a narrow version of Truckenbrodt, 1999’s WRAPXP constraint): Assign } * \text{ for each IP boundary inside an NP.}\]

The constraints above are in principle violable, but since there is always a better candidate, with the NP-level gesture linearized as co-speech, post-speech NP-level gestures should not emerge:

\[
\begin{array}{l|ll}
[D [NP \text{GESTURE}]] & \text{ANCHORG : WRAPNP} \\
\hline
\emptyset & & \\
b. (IP...D NP — GESTURE...) & *! & \\
c. (IP...D NP) — (IP GESTURE) & *! & \\
\end{array}
\]

Since DP-level gestures packaged into their own IPs do not violate WRAPNP, DP-level gestures can be linearized either as co-speech or post-speech:

\[
\begin{array}{l|ll}
[[D NP] \text{GESTURE}] & \text{ANCHORG : WRAPNP} \\
\hline
\emptyset & & \\
b. (IP...D NP — GESTURE...) & *! & \\
c. (IP...D NP) — (IP GESTURE) & & \\
\end{array}
\]

Here I am not committing to any specific constraint-based theory (the tableaux above are done in the style of the classical OT for simplicity). However, if we want to capture both variation and gradience in judgements, which is especially pertinent when dealing with gestures, theories that place constraints on a numerical scale, such as stochastic OT (Boersma, 1997 et seq.), or have weighted constraints, such as Harmonic Grammar and variations thereof (Legendre et al., 1990 et seq.), might be better suited than the classical OT (Prince and Smolensky 1993/2004).

5. Conclusion

In this paper I have looked at how different types of not-at-issue content interact with contrastive focus. In particular, I have tried to address the following questions:

1. When do focus-related considerations force at-issue interpretations of typically not-at-issue content?
2. Can a given type of content bear the so-called not-at-issue contrastive focus (i.e., focus that marks contrast without addressing the QUD)?
3. When are at-issue interpretations of a given type of content impossible, even when that would be the only way to satisfy contrast requirements?
Regarding Question 1, I have looked at cases when the semantically focused element has to be addressing the immediate QUD and thus has to be at-issue, as is the case for F-items in a CT+F configuration. I have shown that at-issue interpretations of typically not-at-issue content are forced in this case only if it is necessary to make the F-items properly contrastive across the conjuncts. These considerations apply to prosodically dependent not-at-issue content only, namely, co-speech gestures and lexical presuppositions. Prosodically independent content, such as appositives and post-speech gestures, cannot be an F-item in a CT+F configuration.

Regarding Question 2, I have observed that it is much easier for prosodically independent not-at-issue content to bear not-at-issue contrastive focus. There also seem to be further subtle differences between lexically independent (co-speech gestures) and lexically dependent (presuppositions) content with respect to not-at-issue focus, which need to be investigated further.

The answers to the first two questions group together lexical presuppositions and co-speech gestures on the one hand and appositives and post-speech gestures on the other, which goes in line with Schlenker’s (2018) analysis of gestures (contra Ebert and Ebert, 2014; Ebert, 2017), even though it is unclear whether this patterning reveals anything about the semantics of the types of content at hand rather than the role of their structural properties.

The answer to Question 3, however, emphasizes the role of said structural properties for at least appositives and gestures, since only those adnominal adjuncts that match the phrase they adjoin to in semantic type (in particular, predicative co-speech gestures) can have at-issue interpretations under pressure. Adnominal appositives, post-speech gestures, and propositional co-speech gestures cannot have at-issue interpretations even under pressure. Taking this observation as a pivotal point, I have sketched an analysis of adnominal gestures whereby NP-level gestures are predicative and thus can be locally accommodated by conjoining with the NP they adjoin to, but DP-level gestures are propositional, like appositives, and thus cannot be locally accommodated. I have further proposed that DP-level gestures can be linearized as either co- or post-speech, but NP-level gestures can only be linearized as co-speech, due to articulatory and prosody/syntax constraints. A natural next step is to extend this approach to adverbial gestures.

References


