



Decomposing English *and* and *or*

Linmin Zhang (linmin.zhang@nyu.edu)

Department of Linguistics, New York University

Summary

► Using **silent operators** to decompose English particles *and* and *or*:

- (1) a. List-building operator \dagger
- b. List-filtering operators:
 - i. identity function operator $\bar{\wedge}$; ii. choice function variable \vee
- c. Fold operator f

► ' $f \cdot \bar{\wedge} \cdot \dagger$ ' is realized as ***and***; ' $f \cdot \vee \cdot \dagger$ ' is realized as ***or***.

► *and* / *or*: **not** \sqcap / \oplus / \sqcup operators per se, but **data structure markers**.

Motivations for introducing the list type

Replication and order-sensitivity

- The items connected by *and* could be the same.
- Sometimes the order between the items affects the truth condition.
 - (2) By definition, the first two numbers in the Fibonacci sequence are **1 and 1**, or **0 and 1**.
 - (3) The last three champions at Roland Garros were **Nadal, Federer and Nadal**. (Florio & Nicholas, 2014)

How to account for multiple coordination (MC)? (see Winter 2006)

- (4) a. \checkmark A, B **and** C came. (5) a. * A **and** B, C came.
- b. \checkmark A **and** B **and** C came. b. * A, B, C came.

► **Hyp.1** *and* and *or* (i) are binary operators and (ii) could be silent.

- *and* and *or* are **recursively** used in MC.
- MC is built in a **nested** way: e.g., $[[A \text{ and } B] \text{ and } C]$.
- **stipulation**: *and/or* can freely go silent except in its last application.

► **Problem 1** as far as the types of *and* / *or* are of the form $\langle \tau, \tau \tau \rangle$, such a stipulation cannot help over-generating ill-formed expressions:

- (6) * $[[A] \text{ and } B] \text{ and } [C] \text{ and } D]$ smiled.

► **Problem 2** silent *and* would also over-generate readings (Winter 2006):

- (7) a. You need to sing and dance and stamp your feet.
 \checkmark 2 requirements (sing; dance and stamp); \checkmark 3 requirements
- b. You need to sing, dance and stamp your feet.
 # 2 requirements; \checkmark 3 requirements

► **Hyp.2** *and* and *or* (i) are never silent and (ii) could be *n*-ary.

- *and* and *or* can be generalized as ***n*-ary operators**.
- MC is built in a **flat** way.
- **stipulation**: *and* and *or* are always pronounced, between the rightmost two items.

- (8) a. $\sqcap_{\tau^1(\tau^2 \dots (\tau^n \tau))}^n = \lambda X_{\tau}^1 \dots \lambda X_{\tau}^n. \sqcap^2 (X^1, \sqcap^{n-1}(X^2, \dots, X^n))$
- b. $\sqcup_{\tau^1(\tau^2 \dots (\tau^n \tau))}^n = \lambda X_{\tau}^1 \dots \lambda X_{\tau}^n. \sqcup^2 (X^1, \sqcup^{n-1}(X^2, \dots, X^n))$

► **Problem 1 still exists** – there would be over-generations:

- (9) * $[A, [B \text{ and}^2 C], D, E \text{ and}^5 F]$ smiled.
 – could be generated via the use of a quinary \sqcap^5 plus a binary \sqcap^2
 (i.e., There is no constraint forcing the use of a 6-ary operator.)

Details of the decompositional proposal

List-building operator \dagger

► The **infix** operator \dagger takes (i) a non-empty list argument xs of type $[\alpha]$ **at its left** and (ii) an argument x of type α **at its right**, and returns a list $(xs : x)$ of type $[\alpha]$ (see also Charlow 2014).

$$(10) \quad \llbracket \dagger \rrbracket =_{def} \lambda xs_{[\alpha]} \lambda x_{\alpha}. (xs : x)$$

► The **asymmetry** between the types $[\alpha]$ and α in using \dagger guarantees that the building of MC goes **one by one recursively from left to right**, and the last instance of \dagger inserts between the two rightmost items.

► To begin with, I assume that any lexical item's meaning $\llbracket x \rrbracket$ could be either x_{α} or a singleton list $[x]_{[\alpha]}$.

► This infix operator \dagger implements Winter 2006's idea: **syntactically, MC is built in a nested way**.

$$\begin{aligned} \llbracket \text{John, Mary and Bill} \rrbracket &= \\ f \llbracket \bar{\wedge} [\llbracket \text{John} \dagger \text{Mary} \rrbracket \dagger \text{Bill}] \rrbracket &= \\ \lambda g \lambda z. \text{fold} [\text{John, Mary, Bill}] g z & \\ \llbracket \text{John, Mary or Bill} \rrbracket &= \\ f \llbracket \vee [\llbracket \text{John} \dagger \text{Mary} \rrbracket \dagger \text{Bill}] \rrbracket &= \\ \left\{ \begin{array}{l} [J] &= \lambda g \lambda z. \text{fold} [J] g z \\ [M] &= \lambda g \lambda z. \text{fold} [M] g z \\ \dots & \\ [M, B] &= \lambda g \lambda z. \text{fold} [M, B] g z \\ [J, M, B] &= \lambda g \lambda z. \text{fold} [J, M, B] g z \end{array} \right. & \end{aligned}$$

- Only \dagger is recursively used in building an MC construction; *and/or* is pronounced only when $\bar{\wedge}/\vee$ and f come to work.
- This means that $\bar{\wedge}$ and f appear twice in (7a), but only once in (7b): In (7a), a contextually salient g (a sum operator for events, see Lasnik 1995) becomes the argument of the first f and turns *dance and stamp your feet* into a sum in the '2 requirements' reading.
- If both g are \sqcap , the '3 requirements' reading of (7a) could also be generated – however, since g comes from the context, if the context doesn't provide two salient \sqcap , the current theory would predict that (7b) is the preferred one for the '3 songs' reading.

List-filtering operators $\bar{\wedge}$ and \vee

► $\bar{\wedge}$ and \vee take a list xs of type $[\alpha]$ and return a list of type $[\alpha]$.

► Essentially, $\bar{\wedge}$ is an **identity function operator**, which is **deterministic** and keeps the order among items within a list.

► \vee is a **choice function variable** over the **power set** containing sets composed from items within the list – the order within the original list would be lost.

$$(11) \quad \begin{array}{l} \text{a. } \llbracket \bar{\wedge} \rrbracket =_{def} \lambda xs_{[\alpha]}. xs \\ \text{b. } \llbracket \vee \rrbracket =_{def} \lambda xs_{[\alpha]}. ys \\ \quad (ys \neq [] \wedge ys \in \wp(xs)) \end{array}$$

► Since \vee is a choice function variable, it would get its value from the context or be existentially closed.

Fold operator f (here it's a left fold.)

► f takes (i) a list xs of type $[\alpha]$ and (ii) a function g of type $\langle \beta, \alpha \beta \rangle$ and a starting point z (of type β) corresponding to the function argument g , and returns a value of type β (see also Bumford 2014).

$$(12) \quad \llbracket f \rrbracket =_{def} \lambda xs_{[\alpha]} \lambda g_{\langle \beta, \alpha \beta \rangle} \lambda z_{\beta}. \text{fold} xs g z$$

► The output is defined in an inductive way:

$$(13) \quad \begin{array}{l} \text{fold} [] g z = z \\ \text{fold} (xs : x) g z = g (\text{fold} xs g z) x \end{array}$$

► In applying f , the function argument g and the corresponding starting point z **come from the context or other parts of the sentence**.

► Here are two examples:

$$\text{► } g = \sqcap_{\langle \alpha, \alpha \alpha \rangle}, z = \text{True}_t \text{ (or } \cup_{\langle \sigma_1, \sigma_2 \rangle})$$

$$\text{► } g = \oplus_{\langle e, ee \rangle}, z = \emptyset_e$$

► The fold operator f implements Winter 2006's idea: **semantically, MC is interpreted in a flat way**.

Let's look at some other data!

The function g used in *between ... and ...*

► The current analysis suggests that some linguistic contexts might provide a g other than \sqcap and \oplus .

$$(14) \quad \text{The relationships between John, Bill and Peter, and Mary, Sue and Katie were all short.}$$

$$(15) \quad \text{There are 4 prime numbers between 1 and 8.}$$

$$(16) \quad \text{She is busy between NY, London and Paris.}$$

► *between* might provide a g to generate all possible pairs under the context.

The use of \oplus in *A, B or C*: is it possible?

► Such a collective predicate as *built a raft together* requires its agent to be non-singular; however, \vee might potentially return a singleton list, which could not guarantee that the requirement of the collective predicate be satisfied.

$$(17) \quad * \text{ John, Mary or Bill built a raft together.} \quad 3.3/7$$

(cf., * *John built a raft together*. 1.6/7)

► However, the use of \oplus might still be possible sometimes:

$$(18) \quad \text{I saw John and Mary or Sue kissed.}$$